

AN ANDO-CHOI-EFFROS LIFTING THEOREM RESPECTING SUBSPACES

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ABSTRACT. We prove a version of the Ando-Choi-Effros lifting theorem respecting subspaces, which in turn relies on Oja's principle of local reflexivity respecting subspaces. To achieve this, we first develop a theory of pairs of M -ideals. As a first consequence we get a version respecting subspaces of the Michael-Pelczyński extension theorem. Other applications are related to linear and Lipschitz bounded approximation properties for a pair consisting of a Banach space and a subspace. We show that in the separable case, the BAP for such a pair is equivalent to the simultaneous splitting of an associated pair of short exact sequences given by a construction of Lusky. We define a Lipschitz version of the BAP for pairs, and study its relationship to the (linear) BAP for pairs. The two properties are not equivalent in general, but they are when the pair has an additional Lipschitz-lifting property in the style of Godefroy and Kalton. We also characterize, in the separable case, those pairs of a metric space and a subset whose corresponding pair of Lipschitz-free spaces has the BAP.

1. INTRODUCTION

Recall that a Banach space X has the *bounded approximation property (BAP)* if there exists λ such that for each $\varepsilon > 0$ and compact set $K \subset X$, there exists a finite-rank linear map $S : X \rightarrow X$ with $\|S\| \leq \lambda$ and $\|S(x) - x\| \leq \varepsilon$ for each $x \in K$. Suppose that X is a separable Banach space, and let (E_n) be an increasing sequence of finite-dimensional subspaces of X with dense union. Define

$$\begin{aligned} c(E_n) &= \{(x_n) : x_n \in E_n \text{ for each } n \in \mathbb{N}, \ (x_n) \text{ converges}\} \\ c_0(E_n) &= \{(x_n) : x_n \in E_n \text{ for each } n \in \mathbb{N}, \ \lim_n x_n = 0\} \end{aligned}$$

Let us consider the short exact sequence

$$0 \longrightarrow c_0(E_n) \xrightarrow{j} c(E_n) \xrightarrow{q} X \longrightarrow 0 \quad (1.1)$$

where j is the natural inclusion and $q(x_n) = \lim_{n \rightarrow \infty} x_n$. It is known that X has BAP if and only if the sequence splits, that is, there is a bounded linear map $L : X \rightarrow c(E_n)$ such that $q \circ L = Id_X$ (or equivalently, a bounded linear map $R : c(E_n) \rightarrow c_0(E_n)$ such that $R \circ j = Id_{c_0(E_n)}$). This is explicitly stated, for example, by Borel-Mathurin [BM12, Lemma 2.1]; Johnson and Oikhberg give basically the same argument in [JO01, Prop. 2.4 and Remark 1], and the construction goes back to Lusky [Lus85, Sec. 3]. Analogously,

The author was partially supported by NSF grant DMS-1400588.

the Lipschitz BAP for X corresponds to having a Lipschitz map $X \rightarrow c(E_n)$ splitting (1.1) (see [BM12, Thm. 2.2]). A tool that can be used to prove both of the aforementioned characterizations is the Ando-Choi-Effros lifting theorem. The main result of this paper is a version of this theorem respecting a subspace, which we then use to study approximation properties for pairs via simultaneous splittings of the sequence (1.1) and an analogous one corresponding to a subspace. Let us recall the definition of the BAP for a pair (our definition below is not exactly the original one of Figiel, Johnson and Pełczyński [FJP11, Defn. 1.1] but it is routinely checked to be equivalent; see [OT13, Thm. 4.1] for this and other characterizations).

Definition 1.1. If Y is a subspace of a Banach space X , we say that the pair (X, Y) has the λ -BAP if, for each $\varepsilon > 0$ and compact set $K \subset X$, there exists a finite-rank linear map $S : X \rightarrow X$ with $\|S\| \leq \lambda$, $\|S(x) - x\| \leq \varepsilon$ for each $x \in K$, and $S(Y) \subset Y$.

Now suppose that X is a separable Banach space, Y is a closed subspace of X , (E_n) is an increasing sequence of finite-dimensional subspaces of X with dense union in X , and (F_n) is an increasing sequence of finite-dimensional subspaces of Y with dense union in Y such that $F_n \subseteq E_n$ for each $n \in \mathbb{N}$. We can extend (1.1) to a diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & c_0(E_n) & \longrightarrow & c(E_n) & \longrightarrow & X \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & c_0(F_n) & \longrightarrow & c(F_n) & \longrightarrow & Y \longrightarrow 0 \end{array} \quad (1.2)$$

where the rows are short exact sequences and the vertical arrows are the natural isometric embeddings. One of our main results below (Theorem 5.7) states that the BAP for the pair (X, Y) corresponds to having a “simultaneous lifting” of the two short exact sequences in (1.2): that is, the existence of a map $X \rightarrow c(E_n)$ splitting the top sequence in (1.2) and whose restriction to Y splits the bottom sequence in (1.2). This follows from Theorem 5.4, a version of the Ando-Choi-Effros Theorem where the lifting respects a subspace. In order to even be able to state such theorem, we first develop the basics of an accompanying theory of pairs of M -ideals. Our main result in this regard is a characterization in terms of intersection properties (Theorem 3.5). Another crucial ingredient is Oja’s principle of local reflexivity respecting subspaces [Oja14], which we use to develop a version of Dean’s identity respecting subspaces (Theorem 4.2).

Once we have the Ando-Choi-Effros theorem respecting subspaces, as a first consequence we get a version respecting subspaces of the Michael-Pełczyński extension theorem. Another consequence will be the aforementioned characterization of BAP for pairs in terms of “simultaneous liftings” for (1.2).

Afterwards we study a Lipschitz version of the BAP for pairs. We show that in full generality the version for pairs of the Godefroy-Kalton theorem (that is, the equivalence of BAP and Lipschitz BAP) does not hold. Nevertheless the equivalence does hold if we introduce an additional hypothesis, a Lipschitz-lifting property respecting subspaces that is always satisfied by Lipschitz-free spaces. In the last section, we study pairs metric spaces

whose corresponding pair of Lipschitz-free spaces has the BAP. In the case of compact metric spaces, we use ideas of Godefroy [God15] together with our Ando-Choi-Effros Theorem respecting subspaces to get a characterization in terms of near-extension operators. For the more general case of separable metric spaces, we adapt the strategy of Godefroy and Ozawa [GO14] to prove a characterization in terms of a Lipschitz version of the local reflexivity principle respecting subspaces.

2. NOTATION AND PRELIMINARIES

We say that (X_1, X_2) is a *pair of a Banach space and a subspace* if X_1 is a Banach space and $X_2 \subseteq X_1$ is a closed subspace of X_1 . For two pairs of a Banach space and a subspace (J_1, J_2) and (X_1, X_2) , we write $(J_1, J_2) \subseteq (X_1, X_2)$ when $J_1 \subseteq X_1$ and $J_2 \subseteq X_2$. In such a situation, the annihilators J_1^\perp, X_2^\perp (resp. $J_1^{\perp\perp}, X_2^{\perp\perp}$) are always taken in X_1^* (resp. X_1^{**}) whereas J_2^\perp (resp. $J_2^{\perp\perp}$) is always taken in X_2^* (resp. X_2^{**}). In the rare occasions where we need to specify a different annihilator, we use the notation

$$\text{Ann}(J, X^*) = \{x^* \in X^* : x^*(x) = 0 \text{ for all } x \in J\}.$$

If $A_2 \subset A_1$ and $B_2 \subset B_1$, we write $f : (A_1, A_2) \rightarrow (B_1, B_2)$ to mean that $f : A_1 \rightarrow B_1$ is a function such that $f(A_2) \subset B_2$. If (X_1, X_2) and (Y_1, Y_2) are pairs of a Banach space and a subspace, and $T : (X_1, X_2) \rightarrow (Y_1, Y_2)$ is a linear map, we say that T is a simultaneous (linear) isomorphism if T is a (bounded linear) isomorphism and $T(X_2) = Y_2$. If instead we assume that T is a Lipschitz bijection with Lipschitz inverse, that will be called a simultaneous Lipschitz isomorphism. By a *paving* of a separable Banach space X we mean an increasing sequence (E_n) of finite-dimensional subspaces of X whose union is dense in X . A paving of a pair of a Banach space and a subspace (X_1, X_2) is a sequence of pairs of a Banach space and a subspace (E_n, F_n) such that (E_n) is a paving for X_1 , (F_n) is a paving for X_2 and $F_n \subseteq E_n$ for all $n \in \mathbb{N}$.

For a point x in a Banach space X and $r \geq 0$, we denote by $B(x, r)$ the closed ball $\{y \in X : \|x - y\| \leq r\}$. If the space X needs to be emphasized, we write $B_X(x, r)$. The unit ball $B_X(0, 1)$ is denoted simply by B_X .

For a closed subset D of a compact Hausdorff space K , we always denote

$$J_D = \{f \in C(K) : f(x) = 0 \text{ for all } x \in D\}.$$

All maps between Banach spaces are assumed to be bounded linear maps, unless explicitly stated otherwise. Let X and Y be Banach spaces. The space of all bounded linear maps from X to Y is denoted by $\mathcal{L}(X; Y)$. We say that a bounded linear map $T : X \rightarrow Y$ is a *metric surjection* if the image of the open unit ball of X is the open unit ball of Y . We write $X \equiv Y$ to indicate that X and Y are isometrically isomorphic.

A short exact sequence is $0 \longrightarrow Z \xrightarrow{A} Y \xrightarrow{B} X \longrightarrow 0$ where the image of each arrow coincides with the kernel of the following one. We say that a short exact sequence is isometric if A is an isometric embedding and B is a metric surjection.

Let (X_1, X_2) and (Y_1, Y_2) be pairs of a Banach space and a subspace, and $T : (X_1, X_2) \rightarrow (Y_1, Y_2)$ a bounded linear map. A linear map $R : (Y_1, Y_2) \rightarrow (X_1, X_2)$ is called a *simultaneous retraction for $(T, T|_{X_2})$* if $R \circ T = Id_{X_1}$ (note that in this case, $R|_{Y_2} \circ T|_{X_2} = Id_{X_2}$). A linear map $L : (Y_1, Y_2) \rightarrow (X_1, X_2)$ is called a *simultaneous lifting for $(T, T|_{X_2})$* if $T \circ L = Id_{Y_1}$ (note that in this case, $T|_{X_2} \circ L|_{Y_2} = Id_{Y_2}$). If the map L is only assumed to be Lipschitz, it is called a *simultaneous Lipschitz lifting*. If the maps $(T, T|_{X_2})$ are clear from the context, we will not mention them explicitly.

Definition 2.1. By a *pair of short exact sequences* we mean a commutative diagram of the form

$$\begin{array}{ccccccccc} 0 & \longrightarrow & Z_1 & \xrightarrow{A_1} & Y_1 & \xrightarrow{B_1} & X_1 & \longrightarrow & 0 \\ & & \uparrow R & & \uparrow S & & \uparrow T & & \\ 0 & \longrightarrow & Z_2 & \xrightarrow{A_2} & Y_2 & \xrightarrow{B_2} & X_2 & \longrightarrow & 0 \end{array} \quad (2.1)$$

where every row is exact.

If R and S are isometric embeddings, we say that (2.1) is *left-isometric*. In this case, we say that (2.1) admits a simultaneous retraction if there is a simultaneous retraction for (A_1, A_2) . If S and T are isometric embeddings, we say that (2.1) is *right-isometric*. In this case, we say that (2.1) admits a simultaneous (Lipschitz) lifting, if there is a simultaneous (Lipschitz) lifting for (B_1, B_2) . If (2.1) is both left- and right-isometric, we will simply say it is isometric.

Remark 2.2. The usual arguments show that an isometric pair of short exact sequences admits a simultaneous retraction if and only if it admits a simultaneous lifting; we will call either of these a *simultaneous splitting*.

Let X be a Banach space. A linear projection $P : X \rightarrow X$ is called an M -projection (resp. L -projection) if for all $x \in X$ we have $\|x\| = \max\{\|Px\|, \|x - Px\|\}$ (resp. $\|x\| = \|Px\| + \|x - Px\|$). A closed subspace $J \subset X$ is called an M -summand (resp. L -summand) if it is the range of an M -projection (resp. L -projection), and it is called an M -ideal if J^\perp is an L -summand in X^* . For the general theory of M -ideals in Banach spaces, we refer the reader to [HWW93].

We use the convention of having *pointed* metric spaces, i.e. with a designated special point always denoted by 0. For a metric space M and a Banach space X , $\text{Lip}_0(M; X)$ denotes the Banach space of Lipschitz functions $T : M \rightarrow X$ such that $T(0) = 0$, with addition defined pointwise and the Lipschitz constant $\text{Lip}(T)$ as the norm of T . When $X = \mathbb{R}$, we simply write $\text{Lip}_0(M)$ or $M^\#$. The *Lipschitz-free space* of a metric space M , denoted $\mathcal{F}(M)$, is the canonical predual of $\text{Lip}_0(M)$, that is, the closed linear subspace of $\text{Lip}_0(X)$ spanned by the evaluation functionals $\delta(x) : f \mapsto f(x)$ for $f \in \text{Lip}_0(M)$ and $x \in M$. The map $\delta : x \mapsto \delta(x)$ is an isometric embedding of M into $\mathcal{F}(M)$. Moreover, for any Banach space X and any Lipschitz map $T : M \rightarrow X$ with $T(0) = 0$ there is a unique linear map $\overline{T} : \mathcal{F}(M) \rightarrow X$ such that $\overline{T} \circ \delta = T$. Furthermore, $\|\overline{T}\| = \text{Lip}(T)$. It is because of this universal property that the space $\mathcal{F}(X)$ is called the Lipschitz-free space

of M , or simply the free space of M . This concept goes back to [AE56], see [Wea99] for a thorough study. Lipschitz-free spaces have been recently used as tools in nonlinear Banach space theory, see [GK03], [Kal04] and the survey [GLZ14]. In this context, the non-linear map δ has a linear left inverse [GK03, Lemma 2.4]: if μ is a measure with finite support on the Banach space X , we can define its barycenter as $\beta(\mu) = \int x d\mu(x)$; since such measures can be identified with a dense subset of $\mathcal{F}(X)$, β extends to a norm-one linear operator $\beta_X : \mathcal{F}(X) \rightarrow X$ that we call the *barycentric map*.

3. SIMULTANEOUS M -IDEALS

In order to state our version of the Ando-Choi-Effros theorem respecting subspaces, we need to develop a corresponding theory of M -ideals. In this case the subspaces will not be respected by the M -ideals, but rather by the associated L - and M -projections. In order to avoid awkward terminology, we have then chosen to use the word “simultaneous” when talking about the ideals.

Definition 3.1. Let (X_1, X_2) be a pair of a Banach space and a subspace. We say that a linear projection P on X_1 is a *simultaneous M - (resp. L -) projection for (X_1, X_2)* if $P : X_1 \rightarrow X_1$ is an M - (resp. L -) projection and $P(X_2) \subseteq X_2$.

Our choice of terminology is justified by the fact that in this case $P|_{X_2} : X_2 \rightarrow X_2$ is clearly also an M - (resp. L -) projection.

Definition 3.2. Let $(J_1, J_2) \subseteq (X_1, X_2)$ be pairs of a Banach space and a subspace.

- (a) We say that (J_1, J_2) is a *simultaneous M - (resp. L -) summand in (X_1, X_2)* if there is a simultaneous M - (resp. L -) projection $P : X_1 \rightarrow X_1$ for (X_1, X_2) with $P(X_1) = J_1$ and $P(X_2) = J_2$.
- (b) We say that (J_1, J_2) is a *simultaneous M -ideal for (X_1, X_2)* if J_1 is an M -ideal in X_1 and J_2 is an M -ideal in X_2 , with associated L -projections $Q_1 : X_1^* \rightarrow J_1^\perp$ and $Q_2 : X_2^* \rightarrow J_2^\perp$ such that the diagram

$$\begin{array}{ccc} X_1^* & \xrightarrow{Q_1} & J_1^\perp \\ r \downarrow & & \downarrow r|_{J_1^\perp} \\ X_2^* & \xrightarrow{Q_2} & J_2^\perp \end{array} \quad (3.1)$$

commutes, where $r : X_1^* \rightarrow X_2^*$ is the restriction map. In this case, we say that the projections Q_1 and Q_2 are *compatible*.

Remark 3.3. We note two important consequences that will be repeatedly used in the sequel:

- (a) For $k = 1, 2$, by taking $P_k = (Id_{X_k^*} - Q_k)^*$, we get an M -projection with range $J_k^{\perp\perp}$. The diagram (3.1) gives rise to another commutative diagram

$$\begin{array}{ccc} X_1^{**} & \xrightarrow{P_1} & J_1^{\perp\perp} \\ \uparrow \iota & & \uparrow \iota|_{J_2^{\perp\perp}} \\ X_2^{**} & \xrightarrow{P_2} & J_2^{\perp\perp} \end{array} \quad (3.2)$$

where $\iota : X_2^{**} \rightarrow X_1^{**}$ is the canonical inclusion. Thus there is always a simultaneous M -projection associated to a simultaneous M -ideal, and in fact $(J_1^{\perp\perp}, J_2^{\perp\perp})$ is a simultaneous M -summand in (X_1^{**}, X_2^{**}) .

- (b) When (J_1, J_2) is a simultaneous M -ideal for (X_1, X_2) , there is a canonically associated pair of short exact sequences:

$$\begin{array}{ccccccc} 0 & \longrightarrow & J_1 & \xrightarrow{j_1} & X_1 & \xrightarrow{q_1} & X_1/J_1 \longrightarrow 0 \\ & & \uparrow \iota & & \uparrow \iota' & & \uparrow T \\ 0 & \longrightarrow & J_2 & \xrightarrow{j_2} & X_2 & \xrightarrow{q_2} & X_2/J_2 \longrightarrow 0 \end{array} \quad (3.3)$$

where ι, ι', j_1 and j_2 are the natural inclusion maps (in particular, they are isometric embeddings) and q_1, q_2 are the canonical quotient maps. T is simply the linear map defined on X_1/J_1 which is induced by $q_1 \circ \iota'$, this is well-defined since $J_2 \subset \ker(q_1 \circ \iota')$. Let us now observe that T is in fact an isometric embedding. This will be the case if and only if its adjoint $(X_1/J_1)^* \rightarrow (X_2/J_2)^*$ is a metric surjection, but this map can be canonically identified with the restriction map $r|_{J_1^\perp} : J_1^\perp \rightarrow J_2^\perp$. The fact that the latter is a metric surjection follows immediately from the diagram (3.1), together with the fact that $r : X_1^* \rightarrow X_2^*$ is a metric surjection and so is any L -projection. We will call (3.3) the pair of short exact sequences associated to the simultaneous M -ideal.

The main result of this section is a characterization of simultaneous M -ideals in terms of intersection properties, which has the usual advantage of dispensing with the associated L -projections. We start by recording a Lemma, a slight refinement of [HWW93, Lemma I.2.1]. The proof is exactly the same, so we omit it.

Lemma 3.4. *Suppose (J_1, J_2) is a simultaneous M -summand in (X_1, X_2) , and suppose that x_1, \dots, x_n in X_1 and positive numbers r_1, \dots, r_n satisfy*

$$B(x_i, r_i) \cap J_1 \neq \emptyset \text{ for each } i = 1, \dots, n \quad \text{and} \quad \bigcap_{i=1}^n B(x_i, r_i) \cap X_2 \neq \emptyset.$$

Then $\bigcap_{i=1}^n B(x_i, r_i) \cap J_2 \neq \emptyset$.

We are now ready for the promised characterization, modeled after [HWW93, Thm. I.2.2].

Theorem 3.5. *Let $(J_1, J_2) \subseteq (X_1, X_2)$ be pairs of a Banach space and a subspace, and assume that J_1 is an M -ideal in X_1 . The following are equivalent:*

- (i) (J_1, J_2) is a simultaneous M -ideal in (X_1, X_2) .
(ii) For all $n \in \mathbb{N}$, whenever x_1, \dots, x_n in X_1 and positive numbers r_1, \dots, r_n satisfy

$$B(x_i, r_i) \cap J_1 \neq \emptyset \text{ for each } i = 1, \dots, n \quad \text{and} \quad \bigcap_{i=1}^n B(x_i, r_i) \cap X_2 \neq \emptyset,$$

it follows that for every $\varepsilon > 0$

$$\bigcap_{i=1}^n B(x_i, r_i + \varepsilon) \cap J_2 \neq \emptyset.$$

- (iii) Same as (ii) with $n = 3$.
(iv) For all $y_1, y_2, y_3 \in B_{J_1}$, all $x \in B_{X_2}$ and $\varepsilon > 0$ there is $y \in J_2$ satisfying

$$\|x + y_i - y\| \leq 1 + \varepsilon \quad \text{for } i = 1, 2, 3.$$

- (v) For all $n \in \mathbb{N}$, whenever x_1, \dots, x_n in X_1 and positive numbers r_1, \dots, r_n satisfy

$$B(x_i, r_i) \cap J_1 \neq \emptyset \text{ for each } i = 1, \dots, n \quad \text{and} \quad \text{int} \left(\bigcap_{i=1}^n B(x_i, r_i) \right) \cap X_2 \neq \emptyset,$$

it follows that for every $\varepsilon > 0$

$$\bigcap_{i=1}^n B(x_i, r_i + \varepsilon) \cap J_2 \neq \emptyset.$$

Proof. (i) \Rightarrow (ii): By Remark 3.3, $(J_1^{\perp\perp}, J_2^{\perp\perp})$ is a simultaneous M -summand in (X_1^{**}, X_2^{**}) . Consider the corresponding balls $B_{X_1^{**}}(x_i, r_i)$ in X_1^{**} ; Lemma 3.4 allows us to find

$$x_0^{**} \in \bigcap_{i=1}^n B_{X_1^{**}}(x_i, r_i) \cap J_2^{\perp\perp}.$$

The rest of the proof continues as in [HWW93, Thm. I.2.2].

(ii) \Rightarrow (iii): This is just the specialization to the case $n = 3$.

(iii) \Rightarrow (iv): This is just the special case $x_i = x + y_i$, $r_i = 1$.

(iv) \Rightarrow (i): For $k = 1, 2$ define

$$J_k^\# = \{x^* \in X_k^* : \|x^*\| = \|x^*|_{J_k}\|\}.$$

From the proof of [HWW93, Thm. I.2.2] it follows that each $x^* \in X_k^*$ can be written in a unique way as $x^* = u_k^* + v_k^*$ with $u_k^* \in J_k^\perp$ and $v_k^* \in J_k^\#$, and moreover the map $P_k : x^* \mapsto u_k^*$ is an L -projection from X_k^* onto J_k^\perp . All that is left to check is that P_1 and P_2 are compatible.

Define also

$$J^\# = \{x^* \in X_1^* : \|x^*\| = \|x^*|_{J_2}\|\} \subseteq J_1^\#,$$

and note that any $x^* \in X_1^*$ can be written as $x^* = a^* + b^*$ with $a^* \in \text{Ann}(J_2, X_1^*)$ and $b^* \in J^\#$ (simply take b^* to be a Hahn-Banach extension of $x^*|_{J_2}$, and set $a^* = x^* - b^*$).

Fix $x^* \in X_1^*$, and write it as $x^* = u^* + v^*$ with $u^* \in J_1^\perp$ and $v^* \in J_1^\#$ (that is, $u^* = P_1 x^*$). Now write $v^* = a^* + b^*$ with $a^* \in \text{Ann}(J_2, X_1^*)$ and $b^* \in J^\#$. Let $x \in B_{X_2}$. Given $\varepsilon > 0$, choose $y_1, y_2 \in B_{J_1}$ satisfying

$$\begin{aligned}\mathbb{R} \ni \langle v^*, y_1 \rangle &\geq \|v^*|_{J_1}\| - \varepsilon = \|v^*\| - \varepsilon \\ \mathbb{R} \ni -\langle b^*, y_2 \rangle &\geq \|b^*|_{J_1}\| - \varepsilon = \|b^*\| - \varepsilon\end{aligned}$$

(note we have used that $b^*, v^* \in J_1^\#$). Using (iv) we can get $y \in J_2$ such that

$$\|x + y_i - y\| \leq 1 + \varepsilon, \quad i = 1, 2.$$

Now,

$$\begin{aligned}(1 + \varepsilon)(\|v^*\| + \|b^*\|) &\geq |\langle v^*, x + y_1 - y \rangle - \langle b^*, x + y_2 - y \rangle| \\ &= |\langle v^* - b^*, x \rangle + \langle v^*, y_1 \rangle - \langle b^*, y_2 \rangle - \langle v^* - b^*, y \rangle| \\ &\geq \text{Re} \langle a^*, x \rangle + \|v^*\| + \|b^*\| - 2\varepsilon\end{aligned}$$

Letting ε go to zero we conclude $\langle a^*, x \rangle = 0$ for all $x \in X_2$.

Since $x^* = u^* + a^* + b^*$, it follows that

$$x^*|_{X_2} = u^*|_{X_2} + a^*|_{X_2} + b^*|_{X_2} = u^*|_{X_2} + b^*|_{X_2}.$$

From $u^* \in J_1^\perp$ it follows that $u^*|_{X_2} \in J_2^\perp$, and from $b^* \in J^\#$ it follows that $b^*|_{X_2} \in J_2^\#$. Therefore, $P_2(x^*|_{X_2}) = u^*|_{X_2} = (P_1 x^*)|_{X_2}$, so the projections are compatible.

(ii) \Leftrightarrow (v): The proof is a straightforward adaptation of the argument in [HWW93, Thm. I.2.2]; we leave out the details since we will not be making use of characterization (v) in the rest of this paper. \square

Remark 3.6. Though the proof above for Theorem 3.5 might give the impression of only making use of (ii) in the case $n = 2$, the case $n = 3$ was implicitly used for the implication (iv) \Rightarrow (i) when citing the proof of [HWW93, Thm. I.2.2]. As pointed out in [HWW93, Remarks I.2.3], the case $n = 2$ is enough to get a nonlinear “ L -projection”, but $n = 3$ is required in order to get the linearity.

As a consequence of Theorem 3.5, we get our first (and very useful) example of a simultaneous M -ideal.

Corollary 3.7. *Suppose that (X, Y) is a pair of a Banach space and a subspace, with X separable, and let (E_n, F_n) be a paving of (X, Y) . Then $(c_0(E_n), c_0(F_n))$ is a simultaneous M -ideal in $(c(E_n), c(F_n))$.*

Proof. It is well-known that $c_0(E_n)$ is an M -ideal in $c(E_n)$, see for example the proof of [HWW93, Prop. II.2.3]. An easy adaptation of that argument will give the rest: given

sequences of norm at most one $x = (x_n) \in c(F_n)$ and $y_i = (y_n^i) \in c_0(E_n)$ ($i = 1, 2, 3$), and $\varepsilon > 0$, choose $N \in \mathbb{N}$ such that $\|y_n^i\| \leq \varepsilon$ for $n \geq N$ and $i = 1, 2, 3$. If we define $y = (y_n)$ by $y_n = x_n$ for $n \leq N$ and $y_n = 0$ for $n > N$, it follows that $y \in c_0(F_n)$ and $\|x + y_i - y\| \leq 1 + \varepsilon$. Theorem 3.5 now gives the desired conclusion. \square

Remark 3.8. The example in Corollary 3.7 shows that in order for (J_1, J_2) to be a simultaneous M -ideal in (X_1, X_2) , it is not necessary to have $J_2 = J_1 \cap X_2$.

Before we present our next example of a simultaneous M -ideal, we recall a definition.

Definition 3.9. Let K be a compact Hausdorff space. Suppose X is a closed subspace of $C(K)$, and $D \subseteq K$ is closed. We define $X|_D$ to be the space of all restrictions $\{f|_D : f \in X\}$. We say that $(X|_D, X)$ has the *bounded extension property* [MP67] if there exists a constant C such that, given $f \in X|_D$, $\varepsilon > 0$ and an open set $U \supset D$, there is some $F \in X$ such that

$$F|_D = f, \quad \|F\| \leq C \|f\|, \quad |f(x)| \leq \varepsilon \text{ for } x \notin U.$$

Corollary 3.10. Let K be a compact Hausdorff space. Suppose $X_2 \subseteq X_1$ are closed subspaces of $C(K)$, and $D \subseteq K$ is closed. If $(X_1|_D, X_1)$ and $(X_2|_D, X_2)$ both have the bounded extension property, then $(J_D \cap X_1, J_D \cap X_2)$ is an M -ideal in (X_1, X_2) .

Proof. It follows from [HWW93, Prop. I.1.20] that $J_D \cap X_1$ is an M -ideal in X_1 . A straightforward adaptation of the argument in [HWW93, Proof of Prop. I.1.20, p. 24] shows that condition (iv) in Theorem 3.5 is satisfied, and the conclusion follows. \square

Our next example generalizes [HWW93, Ex. VI.4.1]

Corollary 3.11. (a) Let $1 < p \leq q < \infty$, and let $X \subset \ell_q$ be a subspace isometric to ℓ_q . Then $(\mathcal{K}(\ell_p, \ell_q), \mathcal{K}(\ell_p, X))$ is a simultaneous M -ideal in $(\mathcal{L}(\ell_p, \ell_q), \mathcal{L}(\ell_p, X))$.

(b) Let Y be any Banach space, and $X \subset c_0$ a subspace isometric to c_0 . Then $(\mathcal{K}(Y, c_0), \mathcal{K}(Y, X))$ is a simultaneous M -ideal in $(\mathcal{L}(Y, c_0), \mathcal{L}(Y, X))$.

Proof. (a) From [HWW93, Ex. VI.4.1], we have that $\mathcal{K}(\ell_p, \ell_q)$ is an M -ideal in $\mathcal{L}(\ell_p, \ell_q)$, so we can apply Theorem 3.5. Let $S_1, S_2, S_3 \in \mathcal{K}(\ell_p, \ell_q)$ and $T \in \mathcal{L}(\ell_p, X)$ be contractions. Let (P_n) (resp. (Q_n)) be the sequence of projections associated to the unit vector basis of ℓ_p (resp. X , thought of as ℓ_q). Let $\varepsilon > 0$ be given. We will show that for n, m large enough,

$$\|T + S_i - (Q_n T - T P_m + Q_n T P_m)\| \leq 1 + \varepsilon \quad \text{for } i = 1, 2, 3,$$

which will prove that condition (iv) in Theorem 3.5 is satisfied since $Q_n T - T P_m + Q_n T P_m$ is a compact operator on ℓ_p with values in X . The rest of the proof goes exactly as in [HWW93, Ex. VI.4.1].

The proof for (b) is similar but easier: in this case one shows $\|T + S_i - Q_n T\| \leq 1 + \varepsilon$ where (Q_n) are the projections associated to the unit vector basis of X (after we identify X with c_0). \square

4. OJA'S PRINCIPLE OF LOCAL REFLEXIVITY RESPECTING SUBSPACES, À LA DEAN

Dean's version of the Principle of Local Reflexivity [Dea73] asserts that when E and X are Banach spaces with E finite-dimensional, then $\mathcal{L}(E; X)^{**} \equiv \mathcal{L}(E; X^{**})$ with the identification given by

$$\varphi \mapsto [\tilde{\varphi} : e \mapsto [x^* \mapsto \varphi(e \otimes x^*)]]. \quad (4.1)$$

Before proving a version respecting subspaces, we need to define the appropriate space of operators.

Definition 4.1. Let (E_1, E_2) and (X_1, X_2) be pairs of a Banach space and a subspace. We define

$$\mathcal{L}(E_1, E_2; X_1, X_2) = \{S \in \mathcal{L}(E_1, X_1) \mid S(E_2) \subseteq X_2\}$$

Note that this is a closed subspace of $\mathcal{L}(E_1; X_1)$.

Now we proceed to the main result of this section, a version of Dean's identity respecting subspaces based on Oja's Principle of Local Reflexivity respecting subspaces.

Theorem 4.2. Let (E_1, E_2) and (X_1, X_2) be pairs of a Banach space and a subspace, with E_1 finite-dimensional. Then $\mathcal{L}(E_1, E_2; X_1, X_2)^{**} \equiv \mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$, with the identification given by (4.1).

Proof. By Dean's result, $\mathcal{L}(E_1; X_1)^{**} \equiv \mathcal{L}(E_1; X_1^{**})$. Since $\mathcal{L}(E_1, E_2; X_1, X_2)$ is a subspace of $\mathcal{L}(E_1; X_1)$,

$$\mathcal{L}(E_1, E_2; X_1, X_2)^{**} \equiv \mathcal{L}(E_1, E_2; X_1, X_2)^{\perp\perp} \subseteq \mathcal{L}(E_1; X_1)^{**} \equiv \mathcal{L}(E_1; X_1^{**}).$$

Let $\varphi \in \mathcal{L}(E_1, E_2; X_1, X_2)^{**}$. We can consider it as a map $\tilde{\varphi} \in \mathcal{L}(E_1; X_1^{**})$, and moreover $\langle \varphi, R^* \rangle = 0$ for any $R^* \in \mathcal{L}(E_1, E_2; X_1, X_2)^\perp$. Let $e \in E_2$ and $x^* \in X_2^\perp \subseteq X_1^*$. Note that for any $S \in \mathcal{L}(E_1, E_2; X_1, X_2)$, since $Se \in X_2$,

$$\langle e \otimes x^*, S \rangle = \langle Se, x^* \rangle = 0.$$

Therefore $e \otimes x^* \in \mathcal{L}(E_1, E_2; X_1, X_2)^\perp$, and hence

$$0 = \langle \varphi, e \otimes x^* \rangle = \langle \tilde{\varphi}e, x^* \rangle.$$

This shows that for any $e \in E_2$, $\tilde{\varphi}e \in X_2^{\perp\perp} \equiv X_2^{**}$; that is, $\tilde{\varphi} \in \mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$.

Conversely, assume that we have $\tilde{\varphi} \in \mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$. By the Principle of Local Reflexivity respecting subspaces [Oja14, Thm. 1.2], for every $\alpha = (\varepsilon, F)$ where $\varepsilon > 0$ and F is a finite-dimensional subspace of X_1^* , there exists $S_\alpha \in \mathcal{L}(E_1, E_2; X_1, X_2)$ such that

- (i) $\|S_\alpha\| \leq (1 + \varepsilon) \|\tilde{\varphi}\|$.
- (ii) $\langle \tilde{\varphi}e, y^* \rangle = \langle S_\alpha e, y^* \rangle$ for every $e \in E$ and $y^* \in F$.
- (iii) $\tilde{\varphi}e = S_\alpha e$ for those $e \in E$ for which $\tilde{\varphi}e \in X_1$.

Now fix $R^* \in \mathcal{L}(E_1, E_2; X_1, X_2)^\perp$. Note that R^* , as an element of $\mathcal{L}(E_1; X_1)^*$, defines a map in $\mathcal{L}(E_1^*, X_1^*)$ given by $e^* \mapsto [x \mapsto \langle R^*, e^* \otimes x \rangle]$. Let $F \subseteq X^*$ be the range of R^* considered as the map above.

Note that when α is large enough we have that $\langle S_\alpha, R^* \rangle = \langle \tilde{\varphi}, R^* \rangle$, because if we write $R^* = \sum_{j=1}^n e_j \otimes x_j^*$ with $e_j \in E$ and $x_j^* \in X_1^*$, then

$$\langle \tilde{\varphi}, R^* \rangle = \langle \tilde{\varphi}, \sum_{j=1}^n e_j \otimes x_j^* \rangle = \sum_{j=1}^n \langle \tilde{\varphi} e_j, x_j^* \rangle$$

and the latter is equal to $\sum_{j=1}^n \langle S_\alpha e_j, x_j^* \rangle = \langle S_\alpha, R^* \rangle$ for α large enough. But this is equal to zero because $S_\alpha \in \mathcal{L}(E_1, E_2; X_1, X_2)$ and $R^* \in \mathcal{L}(E_1, E_2; X_1, X_2)^\perp$, so we conclude that $\tilde{\varphi} \in \mathcal{L}(E_1, E_2; X_1, X_2)^{\perp\perp}$. \square

Remark 4.3. Dean originally used the identity $\mathcal{L}(E; X)^{**} \equiv \mathcal{L}(E; X^{**})$ to deduce the Principle of Local Reflexivity [Dea73], and that is also the approach taken in [DJT95, Rya02]. Here we have gone in the opposite direction, deducing a version of Dean's identity from a version of the Principle of Local Reflexivity. This is well-known folklore in the classical case.

5. ANDO-CHOI-EFFROS LIFTINGS RESPECTING SUBSPACES

In general given a bounded linear map $T : Y \rightarrow X/Z$ it is not possible to find a lifting of T to X , i.e. a linear map $L : Y \rightarrow X$ such that $q \circ L = T$ where $q : X \rightarrow X/Z$ is the canonical quotient map. The classical Ando-Choi-Effros Theorem states that in the special case where Z is an M -ideal in X and Y has the BAP (or is an L_1 -predual) such a lifting does exist. We will prove that in the case of simultaneous M -ideals, one can even get a simultaneous lifting. Our approach follows closely that of [HWW93, Sec. II.2].

Before stating the results, we need to introduce the definition of a Lindenstrauss pair. Lindenstrauss' early work dealt with the spaces that now are associated to his name, also popularly known as L_1 -preduals, which can be characterized by a wealth of equivalent conditions: an excellent reference is [Lin64, Chap. VI]. Below we introduce a corresponding concept for pairs; we use as definition the one property of Lindenstrauss spaces that we need for the purposes of the Ando-Choi-Effros Theorem respecting subspaces. In a separate paper [CD] we prove other characterizations of such pairs in the style of Lindenstrauss' work, in particular in terms of intersection properties reminiscent of Theorem 3.5.

Definition 5.1. A pair of a Banach space and a subspace (X_1, X_2) is said to be λ -injective if whenever $(F_1, F_2) \subseteq (E_1, E_2)$ are pairs of a Banach space and a subspace with $E_2 = E_1 \cap F_2$, and $t : (F_1, F_2) \rightarrow (X_1, X_2)$ is a bounded linear map, there exists a bounded linear extension $T : (E_1, E_2) \rightarrow (X_1, X_2)$ with $\|T\| \leq \lambda \|t\|$. The pair (X_1, X_2) is called a *Lindenstrauss pair* if (X_1^{**}, X_2^{**}) is 1-injective.

It should be noted that Lindenstrauss pairs do exist: a trivial example is to take $X_1 = X_2 \oplus_\infty X_3$, where both X_2 and X_3 are Lindenstrauss spaces. More general examples are given by the following proposition.

Proposition 5.2. *(i) If (X_1, X_2) is 1-injective then both X_1 and X_2 are 1-injective, and X_2 is 1-complemented in X_1 .
(ii) Let X_1 be a Lindenstrauss space, and X_2 an M -ideal in X_1 . Then (X_1, X_2) is a Lindenstrauss pair.*

Proof. Suppose that (X_1, X_2) is 1-injective. Let E be a Banach space, $F \subset E$ a closed subspace and $t : F \rightarrow X_1$ a bounded linear map. Applying the definition of 1-injective with $(E_1, E_2) = (E, \{0\})$ and $(F_1, F_2) = (F, \{0\})$, t has an extension $T : E \rightarrow X_1$ with the same norm. If $s : F \rightarrow X_2$ is a bounded linear operator, applying the definition with $(E_1, E_2) = (E, E)$ and $(F_1, F_2) = (F, F)$ gives a bounded linear extension $S : (E, E) \rightarrow (X_1, X_2)$ with the same norm; note that in fact S is a map from E to X_2 . Applying the above argument to the identity map $Id_{X_2} : X_2 \rightarrow X_2$ produces a norm one projection from X_1 onto X_2 .

Suppose now that X_1 is a Lindenstrauss space and X_2 is an M -ideal in X_1 . Then X_2^\perp is an L -summand in X_1^* ; since the latter is an L_1 -space, so is the former [HWW93, Ex. 1.6(a)]. By considering the complementary projection, we can decompose $X_1^* \equiv X_2^\perp \oplus_1 Y$ where both X_2^\perp and Y are L_1 -spaces. It follows that $X_1^{**} \equiv X_2^{\perp\perp} \oplus_\infty Y^*$. Since both $X_2^{\perp\perp}$ and Y^* are 1-injective it is now clear that (X_1^{**}, X_2^{**}) is 1-injective and thus (X_1, X_2) is a Lindenstrauss pair. \square

The heart of the proof of the Ando-Choi-Effros Theorem respecting subspaces is the following preparatory lemma, an adaptation of [HWW93, Lemma. II.2.4]. It deals with the fundamental step of extending a lifting defined on a finite-dimensional space to a larger finite-dimensional space.

Lemma 5.3. *Suppose that (J_1, J_2) is a simultaneous M -ideal in (X_1, X_2) , and let $q_i : X_i \rightarrow X_i/J_i$ be the quotient maps for $i = 1, 2$. Let $(F_1, F_2) \subset (E_1, E_2)$ be pairs of a Banach space and a subspace with E_1 finite-dimensional. Let $T : (E_1, E_2) \rightarrow (X_1/J_1, X_2/J_2)$ be a linear map with $\|T\| = 1$. If either*

- (a) *There exists a contractive projection $\pi : (E_1, E_2) \rightarrow (E_1, E_2)$ with $F_i = \pi(E_i)$ for $i = 1, 2$.*
- (b) *(J_1, J_2) is a Lindenstrauss pair.*

Then, given $\varepsilon > 0$ and a contractive $L : (F_1, F_2) \rightarrow (X_1, X_2)$ such that $q_1 \circ L = T|_{F_1}$, there exists a contractive $\tilde{L} : (E_1, E_2) \rightarrow (X_1, X_2)$ such that $q_1 \circ \tilde{L} = T$ and $\|\tilde{L}|_{F_1} - L\| \leq \varepsilon$.

Proof. The proof is extremely close to that of [HWW93, Lemma. II.2.4], but we need to carefully go through it to make sure that everything works with the extra assumption of

respecting subspaces. We start by defining

$$\begin{aligned} W &= \{S \in \mathcal{L}(E_1, E_2; X_1, X_2) \mid \text{ran}(S) \subseteq J_1 \text{ and } \text{ran}(S|_{E_2}) \subseteq J_2\} \equiv \mathcal{L}(E_1, E_2; J_1, J_2) \\ V &= \{S \in W \mid \ker(S) \supseteq F_1 \text{ and } \ker(S|_{E_2}) \supseteq F_2\}. \end{aligned}$$

Using Theorem 4.2, it follows that

$$\begin{aligned} W^{\perp\perp} &= \{S \in \mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**}) \mid \text{ran}(S) \subseteq J_1^{\perp\perp} \text{ and } \text{ran}(S|_{E_2}) \subseteq J_2^{\perp\perp}\} \\ &\equiv \mathcal{L}(E_1, E_2; J_1^{\perp\perp}, J_2^{\perp\perp}) \\ V^{\perp\perp} &= \{S \in W^{\perp\perp} \mid \ker(S) \supseteq F_1 \text{ and } \ker(S|_{E_2}) \supseteq F_2\} \end{aligned}$$

Let us now observe that W is an M -ideal in $\mathcal{L}(E_1, E_2; X_1, X_2)$. By [HWW93, Lemma. VI.1.1], if $P : X_1^{**} \rightarrow J_1^{\perp\perp}$ is the simultaneous M -projection associated with (J_1, J_2) , then $\tilde{P} : S \mapsto P \circ S$ is an M projection on $\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$. The range of \tilde{P} is obviously contained in $W^{\perp\perp}$, and it is easy to see that the range is in fact all of $W^{\perp\perp}$: take a basis for E_2 and complete it to a basis for E_1 , and use this basis to write a representation of an arbitrary element of $\mathcal{L}(E_1, E_2; J_1^{\perp\perp}, J_2^{\perp\perp})$. Once we have an M -projection \tilde{P} from $\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$ onto $W^{\perp\perp}$, since $\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$ is the bidual of $\mathcal{L}(E_1, E_2; X_1, X_2)$ by Theorem 4.2, it follows from [HWW93, Thm. I.1.9] that W is an M -ideal in $\mathcal{L}(E_1, E_2; X_1, X_2)$.

Now let $L' \in \mathcal{L}(E_1, E_2; X_1, X_2)$ be any extension of L such that $q_1 \circ L' = T$; this exists because E_1 is finite-dimensional, and can be achieved by the same type of completing-the-basis argument as in the previous paragraph. Let B denote the unit ball of $\mathcal{L}(E_1, E_2; X_1, X_2)$. We want to prove that

$$L' \in \overline{B + V}, \quad (5.1)$$

in order to achieve that we will consider L' as an element of $\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$ and will show that

$$L' \in \overline{B + V}^{w*}, \quad (5.2)$$

We will first show (5.2) under assumption (a). If P is as above, decompose L' as

$$L' = ((Id_{X_1^{**}} - P)L' + PL'\pi) + PL'(Id_{E_1} - \pi)$$

First note that $PL'(Id_{E_1} - \pi) \in V^{\perp\perp}$. Clearly $\|PL'\pi\| \leq \|P\| \cdot \|L'\| \cdot \|\pi\| \leq 1$. Since $\text{ran}(Id_{X_1^{**}} - P) \equiv (X_1/J_1)^{**}$, and looking at the diagram

$$\begin{array}{ccccc} E_1 & \xrightarrow{T} & X_1/J_1 & \longrightarrow & (X_1/J_1)^{**} \\ & \searrow L' & \uparrow q_1 & & \uparrow Id_{X_1^{**}} - P \\ & & X_1 & \longrightarrow & X_1^{**} \end{array}$$

it follows that $\|Id_{X_1} - P)L'\| = \|T\| = 1$. Since P is an M -projection, it follows that

$$\|(Id_{X_1^{**}} - P)L' + PL'\pi\| = \max \{ \|Id_{X_1} - P)L'\|, \|PL'\pi\| \}.$$

Note also that $PL'\pi$ and $(Id_{X_1^{**}} - P)L'$ both belong to $\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})$. Therefore,

$$L' \in B_{\mathcal{L}(E_1, E_2; X_1^{**}, X_2^{**})} + V^{\perp\perp} = \overline{B}^{w*} + \overline{V}^{w*} = \overline{B + V}^{w*}.$$

If instead we assume condition (b), from the definition of a Lindenstrauss pair there exists a contractive bounded linear map $\Lambda \in \mathcal{L}(E_1, E_2; J_1^{\perp\perp}, J_2^{\perp\perp})$ which is a simultaneous extension for $(PL, PL|_{E_2})$. We now decompose L' as

$$L' = ((Id_{X_1^{**}} - P)L' + \Lambda) + (PL' - \Lambda)$$

and deduce (5.2) as above.

Now, from (5.1) there exists $R \in B$ and $S \in V$ such that $\|L' - (R + S)\| \leq \varepsilon/2$. Define $L'' = L' - S \in \mathcal{L}(E_1, E_2; X_1, X_2)$. Note that L'' is a simultaneous lifting for $(T, T|_{E_2})$, since $S \in V \subset W$, but it is not guaranteed to be a contraction: we only have $\|L''\| \leq (1 + \varepsilon/2)$. We would like to perturb L'' slightly to obtain a map that is still a lifting but is actually a contraction. Now,

$$\begin{aligned} L'' &\in (L' + V) \cap (1 + \varepsilon/2)B \subset (\overline{B + V}) \cap (1 + \varepsilon/2)B \\ &\subset (\overline{B + W}) \cap (1 + \varepsilon/2)B \subset B + \varepsilon(B \cap W) \end{aligned}$$

where we have used (5.1) in the last step of the first line, and [HWW93, Lemma II.2.5] in the last step of the second line. Thus there is a contraction $\tilde{L} \in \mathcal{L}(E_1, E_2; X_1, X_2)$ with $\|\tilde{L} - L''\| \leq \varepsilon$ and $\tilde{L} - L \in W$. It follows that \tilde{L} satisfies the desired conditions. \square

We are now ready to prove the Ando-Choï-Effros Theorem respecting subspaces (compare to [HWW93, Thm. II.2.1]).

Theorem 5.4. *Suppose that (J_1, J_2) is a simultaneous M -ideal in (X_1, X_2) , and let $q_i : X_i \rightarrow X_i/J_i$ be the quotient maps for $i = 1, 2$. Let (Y_1, Y_2) be a pair of a Banach space and a subspace with Y_1 separable, and let $T : (Y_1, Y_2) \rightarrow (X_1/J_1, X_2/J_2)$ be a linear map with $\|T\| = 1$. If either*

- (a) (Y_1, Y_2) has the λ -BAP or
- (b) (J_1, J_2) is a Lindenstrauss pair.

Then there exists $L : (Y_1, Y_2) \rightarrow (X_1, X_2)$ such that $q_1 \circ L = T$. Moreover, $\|L\| \leq \lambda$ under assumption (a) and $\|L\| \leq 1$ under assumption (b).

Proof. We start assuming condition (b), since the proof is easier. Let (E_n, F_n) be a paving for (Y_1, Y_2) . Let $E_0 = F_0 = \{0\}$ and $L_0 = 0$. Using Lemma 5.3, we can inductively define a sequence of contractions $L_n : E_n \rightarrow X_1$ such that

$$q_1 \circ L_n = T|_{E_n}, \quad L_n(F_n) \subset X_2, \quad \text{and} \quad \|L_n|_{E_{n-1}} - L_{n-1}\| \leq 2^{-n}.$$

For any $y \in \bigcup_n E_n$, the sequence $(L_n y)$ is eventually defined and Cauchy. Hence $Ly := \lim_{n \rightarrow \infty} L_n$ defines a contraction on $\bigcup_n E_n$ that can be extended to a contraction $L : Y_1 \rightarrow X_1$ that clearly has the desired properties.

Now assume condition (a). Consider the following diagram induced on the corresponding spaces of convergent sequences

$$\begin{array}{ccccccc}
 c(Y_1) & \xrightarrow{c(T)} & c(X_1/J_1) & \xleftarrow{c(q_1)} & c(X_1) & \xleftarrow{\quad} & c(J_1) \\
 \uparrow & & \uparrow & & \uparrow & & \uparrow \\
 c(Y_2) & \xrightarrow{c(T|_{Y_2})} & c(X_2/J_2) & \xleftarrow{c(q_2)} & c(X_2) & \xleftarrow{\quad} & c(J_2)
 \end{array}$$

Just as in the proof of [HWW93, Thm. II.2.1] but additionally using Theorem 3.5, note that $c(T)$ is a contraction, and for $i = 1, 2$ we have that $c(q_i) : X_i \rightarrow X_i/J_i$ is a quotient map with kernel $c(J_i)$, so $c(X_i/J_i) \cong c(X_i)/c(J_i)$ and moreover $(c(J_1), c(J_2))$ is a simultaneous M -ideal in $(c(X_1), c(X_2))$.

Since Y_1 is separable and (Y_1, Y_2) has the λ -BAP, by standard arguments there exists a sequence of finite-rank operators $S_n : Y_1 \rightarrow Y_1$ with $\|S_n\| \leq \lambda$ converging strongly to Id_{Y_1} and leaving Y_2 invariant. For $i = 1, 2$ we define an auxiliary subspace $H_i \subset c(Y_i)$ as the closed linear span of the sequences

$$(S_1 y, S_2 y, \dots, S_{m-1} y, S_m y, S_m y, \dots)$$

where $m \in \mathbb{N}$ and $y \in Y_i$. Note that $(S_n y)_n \in H_i$ for every $y \in X_i$. For $m \in \mathbb{N}$ and $(y_n)_n \in H_i$ we define

$$\pi_m^i((y_n)_n) = (y_1, y_2, \dots, y_{m-1}, y_m, y_m, \dots).$$

Note that the π_m^i form an increasing sequence of contractive finite-rank projections on H_i converging strongly to Id_{H_i} , and each π_m^2 is simply the restriction of π_m^1 to H_2 . If we let $E_m^i = \text{ran}(\pi_m^i)$, we get finite-dimensional subspaces $E_m^2 \subset E_m^1$ with $E_m^i \subset Y_i$ for $i = 1, 2$. Define also $E_0^1 = \{0\}$ and $L_0 = 0$. Using Lemma 5.3, we define inductively a sequence of contractions $L_m : E_m^1 \rightarrow c(X_1)$ such that

$$c(q_1) \circ L_m = c(T)|_{E_m^1}, \quad L_m(E_m^2) \subset c(X_2), \quad \|L_m|_{E_{m-1}^1} - L_{m-1}\| \leq 2^{-n}.$$

For $y \in \bigcup_m E_m$ the sequence is eventually defined and Cauchy, hence we obtain a contractive linear map $\Lambda : H_1 \rightarrow c(X_1)$ such that

$$c(q_1)\Lambda = c(T)|_{H_1} \quad \text{and} \quad \Lambda(H_2) \subset c(X_2).$$

We now define $L : Y_1 \rightarrow X_1$ by

$$Ly = \text{limit } \Lambda((S_m y)_m)$$

Note that $L(Y_2) \subset X_2$ and moreover for any $y \in Y_1$

$$\|Ly\| \leq \|\Lambda\| \sup_m \|S_m y\| \leq \lambda \|y\|,$$

and

$$\begin{aligned}
 q_1(Ly) &= \text{limit } c(q_1)(\Lambda((S_m y)_m)) \\
 &= \text{limit } (c(q_1)\Lambda)((S_m y)_m) \\
 &= \lim_m T(S_m y) = Ty.
 \end{aligned}$$

□

As a first consequence, we get a version of the Michael-Pełczyński extension theorem [MP67] respecting subspaces.

Corollary 5.5. *Let K be a compact Hausdorff space. Suppose $X_2 \subseteq X_1$ are closed subspaces of $C(K)$, and $D \subseteq K$ is closed. If $(X_1|_D, X_1)$ and $(X_2|_D, X_2)$ both have the bounded extension property, $X_1|_D$ is separable and the pair $(X_1|_D, X_2|_D)$ has the λ -BAP, then there is a linear extension operator $T : (X_1|_D, X_2|_D) \rightarrow (X_1, X_2)$ (that is, $(Tf)(k) = f(k)$ for any $f \in X_1|_D$ and $k \in D$) with $\|T\| \leq \lambda$.*

Proof. This follows immediately from Corollary 3.10 and Theorem 5.4. □

Remark 5.6. Let us mention some known situations where the hypotheses of Corollary 5.5 are satisfied:

- (a) If $X \subset C(K)$ is a subalgebra and D is a p -set for X (that is, the intersection of finitely many sets of the form $f^{-1}(\{1\})$ with $f \in B_X$), then the pair $(X|_D, X)$ has the bounded extension property [HWW93, p. 15]. Therefore, if $X_2 \subset X_1 \subset C(K)$ are subalgebras and D is a p -set for X_2 , then both $(X_1|_D, X_1)$ and $(X_2|_D, X_2)$ have the bounded extension property.
- (b) In regards to the hypothesis of $(X_1|_D, X_2|_D)$ having BAP, it must be said that not too many nontrivial (i.e. where the subspace is not complemented) examples of pairs (Y_1, Y_2) with the BAP are known: when $\dim(Y_1/Y_2) < \infty$ and Y_2 has BAP [FJP11, Prop. 1.8]; when Y_1 is an \mathcal{L}_∞ space and Y_2 has BAP [FJP11, Thm. 2.1]. In particular, when $Y_1 = C(D)$ and Y_2 has BAP. It should be noted that even when Y_2 is known to have MAP, the aforementioned results from [FJP11] do not give MAP for the pair (Y_1, Y_2) . In the context of extensions it is particularly interesting to obtain extension operators with norm one, so it would be desirable to find examples of pairs of the form $(X_1|_D, X_2|_D)$ having MAP.

We will now apply our Ando-Choi-Effros lifting theorem respecting subspaces to get a result promised in the introduction: a characterization of the BAP for pairs in terms of the existence of a simultaneous lifting for the associated Lusky-inspired diagram (1.2).

Theorem 5.7. *Let X_1 be a separable Banach space, X_2 a closed subspace of X_1 , (E_n, F_n) a paving for (X_1, X_2) , and let $\lambda \geq 1$. The following are equivalent:*

- (i) (X_1, X_2) has λ -BAP.
- (ii) The pair of short exact sequences

$$\begin{array}{ccccccc}
 0 & \longrightarrow & c_0(E_n) & \xrightarrow{j_1} & c(E_n) & \xrightarrow{q_1} & X_1 \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & c_0(F_n) & \xrightarrow{j_2} & c(F_n) & \xrightarrow{q_2} & X_2 \longrightarrow 0
 \end{array} \tag{5.3}$$

admits a simultaneous (linear) lifting of norm less than or equal to λ .

Proof. (ii) \Rightarrow (i): Let $R : X_1 \rightarrow c(E_n)$ be a simultaneous linear lifting with $\|R\| \leq \lambda$. Taking the compositions of R followed by the projection on the n -th coordinate gives a sequence of finite-rank maps on X_1 leaving X_2 invariant, with norms uniformly bounded by λ and converging pointwise to the identity; standard arguments yield the BAP for the pair (X_1, X_2) (see, for example, [Rya02, Prop. 4.3]).

(i) \Rightarrow (ii): Consider the map $Id_{X_1} : (X_1, X_2) \rightarrow (X_1, X_2)$, and observe that $c(E_n)/c_0(E_n) \equiv X_1$ and $c(E_n)/c_0(E_n) \equiv X_1$. By Corollary 3.7 and Theorem 5.4, there exists a linear map $L : (X_1, X_2) \rightarrow (c(E_n), c(F_n))$ of norm at most λ such that $q_1 \circ L = Id_{X_1}$. \square

Note that as a consequence, if condition (ii) of Theorem 5.7 is satisfied for one paving of (X_1, X_2) then it is satisfied for any paving of (X_1, X_2) .

6. LIPSCHITZ BAP FOR PAIRS

Let $\lambda \geq 1$. Recall that the Banach space X is said to have the λ -Lipschitz bounded approximation property (λ -Lipschitz BAP) [GK03, Defn. 5.2] if, for each $\varepsilon > 0$ and compact set $K \subset X$, there exists a Lipschitz map $S : X \rightarrow X$ with finite-dimensional range and such that $\text{Lip}(S) \leq \lambda$ and $\|S(x) - x\| \leq \varepsilon$ for each $x \in K$. We now define the corresponding Lipschitz version of the BAP for pairs.

Definition 6.1. Let (X, Y) be a pair of a Banach space and a subspace. We say that the pair (X, Y) has the λ -Lipschitz BAP if, for each $\varepsilon > 0$ and compact set $K \subset X$, there exists a Lipschitz map $S : (X, Y) \rightarrow (X, Y)$ with finite-dimensional range, $\text{Lip}(S) \leq \lambda$, and $\|S(x) - x\| \leq \varepsilon$ for each $x \in K$.

The celebrated Godefroy-Kalton theorem [GK03, Thm. 5.3] states that for an individual Banach space X , the BAP and the Lipschitz BAP are equivalent. At this point, it is natural to wonder whether the analogous equivalence holds for the case of pairs. One of the implications is trivial, since the BAP for (X, Y) obviously implies the Lipschitz BAP for (X, Y) (and with the same constant). We show in Theorem 6.9 below that the equivalence does hold in the presence of an additional hypothesis, a version for pairs of the Lipschitz-lifting property [GK03, Defn. 5.2]. We also show, with an example due to W.B. Johnson, that the equivalence does not hold in general.

Definition 6.2. The pair of a Banach space and a subspace (X, Y) is said to have the (isometric) *Lipschitz-lifting property* if there exists a (norm one) continuous linear map $T : (X, Y) \rightarrow (\mathcal{F}(X), \mathcal{F}(Y))$ such that $\beta_X \circ T = Id_X$.

The following is a version for pairs of [GK03, Prop. 2.6]. In particular, it implies that if a right-isometric pair of short exact sequences admits a simultaneous Lipschitz lifting, then the bidual pair of short exact sequences admits a simultaneous linear lifting. As a

consequence, if X is a reflexive space, then the BAP for (X, Y) is equivalent to the Lipschitz BAP for (X, Y) .

Proposition 6.3. *Suppose that the right-isometric pair of short exact sequences*

$$\begin{array}{ccccccc} 0 & \longrightarrow & Z_1 & \longrightarrow & Y_1 & \xrightarrow{B_1} & X_1 \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & Z_2 & \longrightarrow & Y_2 & \xrightarrow{B_2} & X_2 \longrightarrow 0 \end{array}$$

admits a simultaneous Lipschitz lifting $L : X_1 \rightarrow Y_1$. Then for the dual pair of sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & X_1^* & \xrightarrow{B_1^*} & Y_1^* & \longrightarrow & Z_1^* \longrightarrow 0 \\ & & \downarrow r & & \downarrow r' & & \downarrow \\ 0 & \longrightarrow & X_2^* & \xrightarrow{B_2^*} & Y_2^* & \longrightarrow & Z_2^* \longrightarrow 0 \end{array}$$

where r and r' are the restriction maps, there exist linear maps $T_1 : Y_1^ \rightarrow X_1^*$ and $T_2 : Y_2^* \rightarrow X_2^*$ such that $T_1 \circ B_1^* = \text{Id}_{X_1^*}$, $T_2 \circ B_2^* = \text{Id}_{X_2^*}$ and $r \circ T_1 = T_2 \circ r'$.*

Proof. By [BL00, Prop. 7.5], there are contractive linear surjective projections $P_1 : X_1^\# \rightarrow X_1^*$ and $P_2 : X_2^\# \rightarrow X_2^*$ such that $rP_1 = P_2\tilde{r}$, where $\tilde{r} : X_1^\# \rightarrow X_2^\#$ is the restriction operator. Let $L_1^\# : Y_1^\# \rightarrow X_1^\#$ and $L_2^\# : Y_2^\# \rightarrow X_2^\#$ be given by $f \mapsto f \circ L$ and $g \mapsto g \circ L|_{X_2}$, respectively. Choosing $T_1 = P_1 \circ L_1^\#|_{Y_1^*}$ and $T_2 = P_2 \circ L_2^\#|_{Y_2^*}$ gives the desired maps. \square

Next, a characterization of the Lipschitz-lifting property in terms of the existence of simultaneous liftings. Compare to [GK03, Prop. 2.8].

Proposition 6.4. *Let (X_1, X_2) be a pair of a Banach space and a subspace. Then (X_1, X_2) has the Lipschitz-lifting property if and only if every right-isometric pair of short exact sequences*

$$\begin{array}{ccccccc} 0 & \longrightarrow & Z_1 & \longrightarrow & Y_1 & \xrightarrow{q_1} & X_1 \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & Z_2 & \longrightarrow & Y_2 & \xrightarrow{q_2} & X_2 \longrightarrow 0 \end{array}$$

which admits a simultaneous Lipschitz lifting also admits a simultaneous linear lifting.

Proof. Let $L : (X_1, X_2) \rightarrow (Y_1, Y_2)$ be a simultaneous Lipschitz lifting for (q_1, q_2) , and let $L_2 = L|_{X_2}$. By the proof of [GK03, Prop. 2.8] we get a commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & Z_{X_1} & \longrightarrow & \mathcal{F}(X_1) & \xrightarrow{\beta_{X_1}} & X_1 \longrightarrow 0 \\
& & \downarrow V_1 & & \downarrow \overline{L}_1 & & \parallel \\
0 & \longrightarrow & Z_1 & \longrightarrow & Y_1 & \xrightarrow{q_1} & X_1 \longrightarrow 0 \\
& & \uparrow & & \uparrow & & \uparrow \\
0 & \longrightarrow & Z_2 & \longrightarrow & Y_2 & \xrightarrow{q_2} & X_2 \longrightarrow 0 \\
& & \uparrow V_2 & & \uparrow \overline{L}_2 & & \parallel \\
0 & \longrightarrow & Z_{X_2} & \longrightarrow & \mathcal{F}(X_2) & \xrightarrow{\beta_{X_2}} & X_2 \longrightarrow 0
\end{array}$$

If $T : X_1 \rightarrow \mathcal{F}(X_1)$ is a bounded linear map such that $\beta_{X_1} T = Id_{X_1}$ and $T(X_2) \subset \mathcal{F}(X_2)$, it is clear that $\overline{L}_1 \circ T$ is a simultaneous linear lifting for (q_1, q_2) . \square

The same argument gives the following isometric version, as in [GK03, Prop. 2.9].

Proposition 6.5. *Let (X_1, X_2) be a pair of a Banach space and a subspace with the isometric Lipschitz-lifting property, and consider the following right-isometric pair of isometric short exact sequences*

$$\begin{array}{ccccccc}
0 & \longrightarrow & Z_1 & \longrightarrow & Y_1 & \xrightarrow{q_1} & X_1 \longrightarrow 0 \\
& & \uparrow & & \uparrow & & \uparrow \\
0 & \longrightarrow & Z_2 & \longrightarrow & Y_2 & \xrightarrow{q_2} & X_2 \longrightarrow 0
\end{array}$$

If there exists an isometry $L : (X_1, X_2) \rightarrow (Y_1, Y_2)$ (not necessarily linear) such that $q_1 \circ L = Id_{X_1}$, then there is also a linear isometry $V : (X_1, X_2) \rightarrow (Y_1, Y_2)$ such that $q_1 \circ V = Id_{X_1}$.

A basic example of pairs with the Lipschitz-lifting property is given by the following lemma, corresponding to [GK03, Lemma 2.10].

Lemma 6.6. *Let $X_1 \subseteq X_2$ be metric spaces. Then the pair $(\mathcal{F}(X_1), \mathcal{F}(X_2))$ has the isometric Lipschitz lifting property.*

Proof. As in the proof of [GK03, Lemma 2.10], the isometry $t : \delta_{\mathcal{F}(X_1)} \delta_{X_1} : X_1 \rightarrow \mathcal{F}(\mathcal{F}(X_1)) = \mathcal{F}^2(X_1)$ induces, by the universal property of the free space, a linear map $T : \mathcal{F}(X_1) \rightarrow \mathcal{F}^2(X_1)$ with $\|T\| = 1$, $T\delta_{X_1}(x) = \delta_{\mathcal{F}(X_1)}\delta_{X_1}(x)$ for every $x \in X_1$. Note that for every $x \in X_2$ we have $t(x) \in \mathcal{F}^2(X_2)$, so for any $m \in \mathcal{F}(X_2)$ we have $T(m) \in \mathcal{F}^2(X_2)$; that is, $T(\mathcal{F}(X_2)) \subset \mathcal{F}^2(X_2)$. Since $T\delta_{X_1}(x) = \delta_{\mathcal{F}(X_1)}\delta_{X_1}(x)$ for every $x \in X_1$, it follows that $\beta_{\mathcal{F}(X_1)} T\delta_{X_1}(x) = \delta_{X_1}(x)$, from where we conclude $\beta_{\mathcal{F}(X_1)} T = Id_{\mathcal{F}(X_1)}$. \square

Remark 6.7. It would be desirable to find conditions, perhaps separability as in [GK03, Thm. 3.1] together with some extra hypotheses, to find examples of other pairs with the Lipschitz-lifting property.

The following is a characterization of the pairs (X, Y) having the Lipschitz-lifting property, in the style of [GK03, Thm. 2.12].

Proposition 6.8. *Let (X, Y) be a pair of a Banach space and a subspace. Define $\mathcal{G}(X) = \ker(\beta_X) \oplus X$ and $\mathcal{G}(Y) = \ker(\beta_Y) \oplus Y$. Then $(\mathcal{F}(X), \mathcal{F}(Y))$ is simultaneously Lipschitz isomorphic to $(\mathcal{G}(X), \mathcal{G}(Y))$. Moreover, these two pairs are simultaneously linearly isomorphic if and only if (X, Y) has the lifting property.*

Proof. The map $L : \mathcal{F}(X) \rightarrow \mathcal{G}(X)$ defined by $\mu \mapsto (\mu - \delta_X \beta_X(\mu), \beta_X(\mu))$ is a simultaneous Lipschitz isomorphism between $(\mathcal{F}(X), \mathcal{F}(Y))$ and $(\mathcal{G}(X), \mathcal{G}(Y))$. If $T : X \rightarrow \mathcal{F}(X)$ is a simultaneous linear lifting for (X, Y) , replacing δ_X by T above shows that the pairs are simultaneously linearly isomorphic. Conversely, if $(\mathcal{F}(X), \mathcal{F}(Y))$ and $(\mathcal{G}(X), \mathcal{G}(Y))$ are simultaneously linearly isomorphic, Lemma 6.6 and a bit of diagram chasing (as in [GK03, Lemma 2.11]) gives the desired result. \square

Now we show the equivalence between the BAP and the Lipschitz BAP for pairs, when the Lipschitz-lifting property is present. The proof follows along the lines of [BM12, Cor. 2.3].

Theorem 6.9. *Let X be a separable Banach space, and Y a closed subspace of X . Suppose that (X, Y) has the Lipschitz-lifting property. Then the following are equivalent:*

- (i) (X, Y) has the BAP.
- (ii) (X, Y) has the Lipschitz BAP.

Proof. We have already observed that the implication (i) \Rightarrow (ii) trivially holds in general.

Suppose now that (X, Y) has the Lipschitz BAP. By standard arguments (say, as in the proof of [BM12, Thm. 2.2]) we can construct a sequence of Lipschitz maps $\sigma_n : X \rightarrow X$ with finite-dimensional range so that $\sigma_n(Y) \subset Y$ for all $n \in \mathbb{N}$, $\sup_n \text{Lip}(\sigma_n) < \infty$ and $\lim_n \sigma_n x = x$ for all $x \in X$. Choosing pairs of finite-dimensional spaces $(E_n, F_n) \subset (X, Y)$ with $\sigma_n(X) \subset E_n$, $\sigma_n(Y) \subset F_n$, $\bigcup_n E_n$ dense in X and $\bigcup_n F_n$ dense in Y , the map $T : X \rightarrow c(E_n)$ given by $Tx = (\sigma_n(x))_n$ is a simultaneous Lipschitz lifting for (5.3). Since (X, Y) has the Lipschitz-lifting property, by Proposition 6.4, there exists a simultaneous linear lifting $L : X \rightarrow c(E_n)$. By Theorem 5.7, we conclude (X, Y) has BAP. \square

In view of the preceding result, one would want to know whether all pairs of separable Banach spaces enjoy the Lipschitz-lifting property. Unfortunately, that is not the case. In the rest of this section, we show a way to find pairs of separable Banach spaces without the Lipschitz-lifting property. The argument is indirect, and relies on Theorem 6.9; we are indebted to Prof. W.B. Johnson for showing it to us. We start by showing that the Lipschitz BAP for X and Y individually, together with a Lipschitz retraction from X onto Y , imply the Lipschitz BAP for the pair (X, Y) .

Proposition 6.10. *Let (X, Y) be a pair of a Banach space and a subspace. If X has λ -Lipschitz BAP, Y has μ -Lipschitz BAP and there is a Lipschitz retraction P from X onto Y , then the pair (X, Y) has the C -Lipschitz BAP with $C = \mu \text{Lip}(P) + \lambda(1 + \text{Lip}(P))$.*

Proof. Let $\varepsilon > 0$, and let $K \subset X$ be a compact set. Note that $P(X) \subset Y$ and $(Id_X - P)(K) \subset X$ are also compact. Thus, there exist Lipschitz maps with finite-dimensional range $T : Y \rightarrow Y$ and $S : X \rightarrow X$ such that $\text{Lip}(T) \leq \mu$, $\text{Lip}(S) \leq \lambda$, and for every $x \in K$, $\|TP(x) - P(x)\| \leq \varepsilon/2$ and $\|S(x - P(x)) - (x - P(x))\| \leq \varepsilon/2$. Note that in addition we may assume $S(0) = 0$. Now consider the map $R = TP + S(Id_X - P) : X \rightarrow X$. Clearly R has finite-dimensional range, it has Lipschitz constant at most $\text{Lip}(T) \text{Lip}(P) + \text{Lip}(S) \text{Lip}(Id_X - P) \leq \mu \text{Lip}(P) + \lambda(1 + \text{Lip}(P))$, for any $y \in Y$ we have $R(y) = T(y) + S(0) = T(y) \in Y$, and for every $x \in K$

$$\begin{aligned} \|R(x) - x\| &= \|TP(x) + S(x - P(x)) - x\| \\ &\leq \|TP(x) - P(x)\| + \|S(x - P(x)) - (x - P(x))\| < \varepsilon. \end{aligned}$$

□

We are now ready for the example.

Proposition 6.11. *There exists a separable pair of a Banach space and a subspace (X, Y) with the Lipschitz BAP, but without the BAP. In particular, (X, Y) does not have the Lipschitz-lifting property.*

Proof. Let Z be a separable Banach lattice without the Approximation Property [Sza76], and let (E_n) be a paving of Z . Let $X = c(E_n)$ and $Y = c_0(E_n)$; clearly X and Y are separable and have the BAP. In particular, they both have the Lipschitz BAP. By [Kal12, Thm. 5.2], there is a Lipschitz retraction from $Y^{**} = \ell_\infty(E_n)$ onto Y . Restricting this map to $X = c(E_n)$ gives a Lipschitz retraction from X onto Y , and now it follows from Proposition 6.10 that the pair (X, Y) has the Lipschitz BAP. If the pair (X, Y) had the BAP then X/Y would have the BAP as well by [FJP11, Cor. 1.2], but X/Y is isometric to Z . The last part of the conclusion now follows from Theorem 6.9. □

7. BAP FOR PAIRS OF LIPSCHITZ-FREE SPACES

The Godefroy-Kalton theorem [GK03, Thm. 5.3] not only shows the equivalence between the BAP and the Lipschitz BAP for a Banach space X , but also that these properties are equivalent to the BAP for the corresponding Lipschitz-free space $\mathcal{F}(X)$. We do not know whether a similar result holds for the BAP for pairs. Nevertheless, our Ando-Choi-Effros theorem respecting subspaces can be used to characterize the BAP for pairs of free spaces over compact metric spaces. The result is a version for pairs of [God15, Thm. 2.1]. Before stating it, let us introduce some notation. If $K \subset M$ are metric spaces, we will always assume that they share the same distinguished point whenever we consider their associated

Lipschitz-free spaces or the spaces of Lipschitz functions defined on them. If (X, Y) is a pair of a Banach space and a subspace, we denote

$$\text{Lip}_0(M, K; X, Y) = \{f \in \text{Lip}_0(M, X) : f(K) \subset Y\}$$

with the norm inherited from $\text{Lip}_0(M; X)$. If K and M are compact metric spaces and $T : \text{Lip}_0(M, K; X, Y) \rightarrow \text{Lip}_0(M, K; X, Y)$ is a bounded linear operator, we denote by $\|T\|_L$ its norm when both the domain and the codomain are equipped with the Lipschitz norm, and by $\|T\|_{L, \infty}$ its norm when the domain space is equipped with the Lipschitz norm and the range space with the uniform norm. A subset S of a metric space M is said to be ε -dense if for all $x \in M$, $\inf\{d(x, s) : s \in S\} \leq \varepsilon$.

Theorem 7.1. *Let $K \subset M$ be compact metric spaces. Let $(K_n)_n$ and $(M_n)_n$ be sequences of finite ε_n -dense subsets of K , respectively M , containing the distinguished point and with $K_n \subseteq M_n$ and $\lim \varepsilon_n = 0$. For a function f defined on M (resp. K), we denote $R_n(f)$ its restriction to M_n . The following are equivalent:*

- (i) *The pair $(\mathcal{F}(M), \mathcal{F}(K))$ has the λ -BAP.*
- (ii) *There exist $\alpha_n \geq 0$ with $\lim \alpha_n = 0$ such that for every pair of a Banach space and a subspace (X, Y) , there exist linear operators $U_n : \text{Lip}_0(M_n, K_n; X, Y) \rightarrow \text{Lip}_0(M, K; X, Y)$ such that $\|U_n\|_L \leq \lambda$ and $\|R_n U_n - \text{Id}_{\text{Lip}_0(M, K; X, Y)}\|_{L, \infty} \leq \alpha_n$.*
- (iii) *There exist linear operators $U_n : \text{Lip}_0(M_n) \rightarrow \text{Lip}_0(M)$ such that $\|U_n\|_L \leq \lambda$, the sequence $\|R_n U_n - \text{Id}_{\text{Lip}_0(M_n)}\|_{L, \infty}$ converges to 0 and $U_n(J_{K_n} \cap \text{Lip}_0(M_n)) \subset J_K \cap \text{Lip}_0(M)$.*
- (iv) *For every pair of a Banach space and a subspace (X, Y) , there exist $\beta_n \geq 0$ with $\lim \beta_n = 0$ such that for every 1-Lipschitz function $F : (M_n, K_n) \rightarrow (X, Y)$, there exists a λ -Lipschitz function $H : (M, K) \rightarrow (X, Y)$ such that $\|R_n(H) - F\|_{\ell_\infty(M_n, X)} \leq \beta_n$.*

Proof. (i) \Rightarrow (ii) Note that $(\mathcal{F}(K_n))_n$ and $(\mathcal{F}(M_n))_n$ are increasing sequences of finite-dimensional subspaces of $\mathcal{F}(K)$, resp. $\mathcal{F}(M)$, with the former having dense union in $\mathcal{F}(K)$ and the latter in $\mathcal{F}(M)$. Moreover, $\mathcal{F}(K_n) \subseteq \mathcal{F}(M_n)$ for each $n \in \mathbb{N}$. By Theorem 5.7, there exists a simultaneous linear lifting $L : (\mathcal{F}(M), \mathcal{F}(K)) \rightarrow (c(\mathcal{F}(M_n)), c(\mathcal{F}(K_n)))$ with $\|L\| \leq \lambda$. Let $\pi_n : c(\mathcal{F}(M_n)) \rightarrow \mathcal{F}(M_n)$ be the canonical projection. Define $g_n := \pi_n \circ L \circ \delta_M : M \rightarrow \mathcal{F}(M_n)$. Note that $g_n(K) \subset \mathcal{F}(K_n)$. The maps g_n are clearly λ -Lipschitz, and for every $x \in M$ we have $\lim \|g_n(x) - \delta_M(x)\| = 0$. Since M is compact, this implies by an equicontinuity argument that if we let

$$\alpha_n = \sup_{x \in M} \|g_n(x) - \delta_M(x)\|_{\mathcal{F}(M)}$$

then $\lim \alpha_n = 0$. Let now X be a Banach space, and $F : (M_n, K_n) \rightarrow (X, Y)$ be a Lipschitz map. By the universal property of the free space, there exists a unique bounded linear map $\overline{F} : \mathcal{F}(M_n) \rightarrow X$ such that $\overline{F} \circ \delta_{M_n} = F$ and $\|\overline{F}\| = \text{Lip}(F)$. Note that \overline{F} depends linearly on F . We now define $U_n F = \overline{F} \circ g_n$. Since $g_n(K) \subset \mathcal{F}(K_n)$, it follows that $(U_n F)(K) = \overline{F}(g_n(K)) \subset \overline{F}(\mathcal{F}(K_n)) \subset Y$, where the last equality follows from the fact

that $F(K_n) \subset Y$. Thus U_n defines a map from $\text{Lip}_0(M_n, K_n; X, Y)$ to $\text{Lip}_0(M, K; X, Y)$, and it is easy to see that the sequence U_n satisfies the requirements of (ii).

(i) \Rightarrow (iii) Construct the operators U_n as in the proof of the previous implication, with $X = Y = \mathbb{R}$. Now let $F \in J_{K_n} \cap \text{Lip}_0(M_n)$. It follows that \overline{F} is identically zero on $\mathcal{F}(K_n)$, and thus $U_n F$ vanishes on K (since $U_n F = \overline{F} \circ g_n$ and $g_n(K) \subset \mathcal{F}(K_n)$).

(ii) \Rightarrow (iv) It suffices to take $H = U_n(F)$ and $\beta_n = \alpha_n$.

(iii) \Rightarrow (i) Let $\gamma_n = \|R_n U_n - \text{Id}_{\text{Lip}_0(M_n)}\|_{L, \infty}$, so that $\lim \gamma_n = 0$. If $H \in \text{Lip}_0(M)$, then

$$\|R_n[U_n R_n(H) - H]\|_{\ell_\infty(M_n)} = \|R_n U_n R_n(H) - R_n(H)\|_{\ell_\infty(M_n)} \leq \gamma_n \|H\|_L$$

Now let $T_n = U_n \circ R_n : \text{Lip}_0(M) \rightarrow \text{Lip}_0(M)$. Note that $\|T_n\|_L \leq \lambda$, and since M_n is ε_n -dense in M with $\lim \varepsilon_n = 0$, it follows that for every $H \in \text{Lip}(M)$ one has

$$\lim \|T_n(H) - H\|_{\ell_\infty(M)} = 0.$$

The operator R_n is a finite rank operator which is weak*-to-norm continuous, hence so is $T_n = U_n \circ R_n$. In particular, there exists $A_n : \mathcal{F}(M) \rightarrow \mathcal{F}(M)$ such that $A_n^* = T_n$. It is clear that $\|A_n\|_{\mathcal{F}(M)} \leq \lambda$, and that the sequence (A_n) converges to the identity for the weak operator topology. Moreover, let $\mu \in \mathcal{F}(K)$ and $f \in \mathcal{F}(K)^\perp = J_K \cap \text{Lip}_0(M)$. Then

$$\langle f, A_n \mu \rangle = \langle T_n f, \mu \rangle = \langle U_n R_n f, \mu \rangle = 0,$$

since $R_n(f) \in J_{K_n} \cap \text{Lip}_0(M_n)$ and $U_n(J_{K_n} \cap \text{Lip}_0(M_n)) \subset J_K \cap \text{Lip}_0(M)$. Therefore, $A_n(\mathcal{F}(K)) \subset \mathcal{F}(K)$. This shows (i).

(iv) \Rightarrow (i) Consider the space $X = \ell_\infty(\mathcal{F}(M_n))$, its closed subspace $Y = \ell_\infty(\mathcal{F}(K_n))$ and the maps $F_n := j_n \circ \delta_{M_n} : (M_n, K_n) \rightarrow (X, Y)$ where $j_n : \mathcal{F}(M_n) \rightarrow X$ is the obvious injection $((j_n(\mu))_k = 0 \text{ for } k \neq n \text{ and } (j_n(\mu))_n = \mu)$. Note that each F_n is an isometric injection. By (iv), there exist λ -Lipschitz maps $H_n : (M, K) \rightarrow (X, Y)$ such that $\|R_n(H_n) - F_n\|_{\ell_\infty(M_n, X)} \leq \beta_n$. Let $S_n := P_n \circ H_n : (M, K) \rightarrow (\mathcal{F}(M_n), \mathcal{F}(K_n))$, where $P_n : (X, Y) \rightarrow (\mathcal{F}(M_n), \mathcal{F}(K_n))$ is the canonical projection onto the n -th coordinate. Note that each S_n is a Lipschitz map with $\text{Lip}(S_n) \leq \lambda$, and thus it has a linear extension $\overline{S_n} : (\mathcal{F}(M), \mathcal{F}(K)) \rightarrow (\mathcal{F}(M_n), \mathcal{F}(K_n))$ with $\|\overline{S_n}\| \leq \lambda$. Moreover, since for each $x \in M_n$ one has $P_n F_n(x) = \delta_{M_n}(x)$ we have $\|S_n(x) - \delta_{M_n}(x)\|_{\mathcal{F}(M_n)} \leq \beta_n$. If we now consider the operators $J_n \circ \overline{S_n} : (\mathcal{F}(M), \mathcal{F}(K)) \rightarrow (\mathcal{F}(M), \mathcal{F}(K))$, where $J_n : (\mathcal{F}(M_n), \mathcal{F}(K_n)) \rightarrow (\mathcal{F}(M), \mathcal{F}(K))$ is the canonical injection, it follows from the above estimates that the sequence $(J_n \circ \overline{S_n})_n$ converges to the identity of $\mathcal{F}(M)$ in the strong operator topology; this proves (i). \square

Remark 7.2. In the version for a single space of Theorem 7.1 [God15, Thm. 2.1], the condition corresponding to (iii) follows formally from the condition corresponding to (ii) by specializing to $X = \mathbb{R}$. That is not the case in our version for pairs, since such specialization only gives the BAP for $\mathcal{F}(M)$.

Our next closely related result characterizes the BAP for a pair of Lipschitz-free spaces over separable metric spaces, in terms of a Lipschitz version of the principle of local reflexivity respecting subspaces: it is an adaptation to pairs of [GO14, Thm. 2]. Before the theorem, we will need some terminology including a refinement of the concept of a simultaneous M -ideal. The Ando-Choi-Effros Theorem respecting subspaces will not play a direct role here, but some similar ideas will indeed be involved.

Let $M_2 \subset M_1$ be separable metric spaces and $X_2 \subset X_1$ complete metric spaces. We denote by $\text{Lip}^\lambda(M_1, M_2; X_1, X_2)$ the set of all λ -Lipschitz maps $f : (M_1, M_2) \rightarrow (X_1, X_2)$. Let us fix a dense sequence $(x_n)_n$ in M and define a metric d on $\text{Lip}^\lambda(M_1, M_2; X_1, X_2)$ by

$$d(f, g) = \sum_{n=1}^{\infty} \min \{d(f(x_n), g(x_n)), 2^{-n}\}.$$

Observe that d is a complete metric on $\text{Lip}^\lambda(M_1, M_2; X_1, X_2)$, whose induced topology is the topology of pointwise convergence.

Let $(J_1, J_2) \subset (X_1, X_2)$ be pairs of a Banach space and a subspace, and let $Q : X_1 \rightarrow X_1/J_1$ be the canonical projection. We say that (J_1, J_2) is a *simultaneous M -ideal with approximate unit* (simultaneous M -iwau for short) if there are nets of bounded linear operators $\phi_\alpha : (X_1, X_2) \rightarrow (J_1, J_2)$ and $\psi_\alpha : (X_1, X_2) \rightarrow (X_1, X_2)$ such that $\phi_\alpha(x) \rightarrow x$ for every $x \in J_1$, $Q \circ \psi_\alpha = Q$ for all α , $\phi_\alpha + \psi_\alpha \rightarrow \text{Id}_{X_1}$ pointwise, and $\|\phi_\alpha(x) + \psi_\alpha(y)\| \leq \max\{\|x\|, \|y\|\}$ for any $x, y \in X_1$ and all α .

Lemma 7.3. *If $(J_1, J_2) \subset (X_1, X_2)$ is a simultaneous M -iwau, then it is a simultaneous M -ideal.*

Proof. Let $y_1, y_2, y_3 \in B_{J_1}$, $x \in B_{X_1}$ and $\varepsilon > 0$. Choose α_0 large enough so that

$$\|x - \phi_{\alpha_0}x - \psi_{\alpha_0}x\| \leq \varepsilon/2, \quad \|\phi_{\alpha_0}y_i - y_i\| \leq \varepsilon/2, \quad i = 1, 2, 3$$

Set $y = \phi_{\alpha_0}x \in J_1$. Then

$$\begin{aligned} \|x + y_i - y\| &= \|x - \phi_{\alpha_0}x + y_i\| \leq \|x - \phi_{\alpha_0}x - \psi_{\alpha_0}x\| + \|\psi_{\alpha_0}x + \phi_{\alpha_0}y_i\| + \|-\phi_{\alpha_0}y_i + y_i\| \\ &\leq \varepsilon/2 + \max\{\|x\|, \|y_i\|\} + \varepsilon/2 \leq 1 + \varepsilon. \end{aligned}$$

This proves that J_1 is an M -ideal in X_1 [HWW93, Thm. I.2.2]. If we assume that $x \in B_{X_2}$ then $y = \phi_{\alpha_0}x \in J_2$, so the same argument gives the desired conclusion by Theorem 3.5. \square

Notice that our habitual example is in fact an M -iwau: if (E_n, F_n) is a paving of a pair of a Banach space and a subspace (X_1, X_2) , then $(c_0(E_n), c_0(F_n))$ is a simultaneous M -iwau in $(c(E_n), c(F_n))$, with

$$\begin{aligned} \phi_k((x_n)_n) &= (x_1, \dots, x_k, 0, 0, \dots), \\ \psi_k((x_n)_n) &= (0, \dots, 0, x_{k+1}, x_{k+2}, \dots). \end{aligned}$$

Notice also that the proof of Lemma 7.3 did not make use of the condition $Q \circ \psi_\alpha = Q$; the importance of that condition will be apparent in the next Lemma. One important consequence of Lemma 7.3 is that the equality $Q \circ \psi_\alpha = Q$ not only holds as a mapping

$X_1 \rightarrow X_1/J_1$, but in fact as a mapping $(X_1, X_2) \rightarrow (X_1/J_1, X_2/J_2)$. The proof of [GO14, Lemma 1] now gives, verbatim, the following.

Lemma 7.4. *Let (J_1, J_2) be a simultaneous M -iwan in (X_1, X_2) , and $M_2 \subset M_1$ separable metric spaces. Then for every $\lambda \geq 1$ the set*

$$\{Q \circ f : f \in \text{Lip}^\lambda(M_1, M_2; X_1, X_2)\} \subset \text{Lip}^\lambda(M_1, M_2; X_1/J_1, X_2/J_2)$$

is closed under the topology of pointwise convergence.

The proof of [GO14, Thm. 2] can now be adapted in a straightforward manner to prove the Theorem below. As would be expected, the part of the proof that uses the principle of local reflexivity now requires the version respecting subspaces [Oja14].

Theorem 7.5. *Let $M_2 \subset M_1$ be separable metric spaces, and $\lambda \geq 1$. Then the pair $(\mathcal{F}(M_1), \mathcal{F}(M_2))$ has the λ -BAP if and only if the following holds: for every pair of a Banach space and a subspace (X_1, X_2) and any $f \in \text{Lip}^1(M_1, M_2; X_1^{**}, X_2^{**})$, there is a net in $\text{Lip}^\lambda(M_1, M_2; X_1, X_2)$ which converges to f in the pointwise-weak* topology.*

Recently, a characterization of the BAP for the free space over a compact metric space has appeared in [AP, Thm. 2.19]. Their proof actually follows from general principles, which we illustrate by proving a version for pairs. In the language of [AP], condition (ii) below could be paraphrased as the existence of an “asymptotic simultaneous λ -random projection”.

Theorem 7.6. *Let $K \subset M$ be compact metric spaces. Let $(K_n)_n$ and $(M_n)_n$ be sequences of finite subsets of K , respectively M , with $K_n \subseteq M_n$, $\bigcup_n K_n$ dense in K and $\bigcup_n M_n$ dense in M . The following are equivalent:*

- (i) *The pair $(\mathcal{F}(M), \mathcal{F}(K))$ has the λ -BAP.*
- (ii) *For every $n \in \mathbb{N}$, there exists $\nu_n : X \rightarrow \mathcal{F}(M_n)$ such that $\nu_n(K) \subset \mathcal{F}(K_n)$ and*
 - (a) $\lim_n \|\nu_n(x) - \delta_X(x)\|_{\mathcal{F}(M)} = 0$ *for every $x \in \bigcup_n M_n$.*
 - (b) ν_n *is λ -Lipschitz.*

Proof. (i) \Rightarrow (ii): Consider $E_n = \mathcal{F}(M_n)$ and $F_n = \mathcal{F}(K_n)$. Theorem 5.7 implies the existence of a sequence of linear maps $T_n : \mathcal{F}(M) \rightarrow \mathcal{F}(M_n)$ such that $\lim_n \|T_n \mu - \mu\| = 0$ for all $\mu \in \mathcal{F}(M)$, $\|T_n\| \leq \lambda$ and $T_n(\mathcal{F}(K)) \subseteq \mathcal{F}(K_n)$. Taking $\nu_n = T_n|_M$ clearly gives (ii).

(ii) \Rightarrow (i) Let $S_n : \mathcal{F}(M) \rightarrow \mathcal{F}(M)$ be the linearization of $\nu_n : M \rightarrow \mathcal{F}(M)$. Then (S_n) is a sequence of finite-rank bounded linear maps $\mathcal{F}(M) \rightarrow \mathcal{F}(M)$ of norm at most λ , that converges to the identity in the weak operator topology and leaves $\mathcal{F}(K)$ invariant. By standard arguments, the pair $(\mathcal{F}(M), \mathcal{F}(K))$ has the λ -BAP. \square

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